



To: HSBC Bank (China) Company Limited (“Bank”)   
 致：汇丰银行（中国）有限公司（“银行”）

(Day 日 / Month 月 / Year 年)

Branch 分行

Date 日期

# HSBC GBA SOUTHBOUND WEALTH MANAGEMENT CONNECT SERVICE CONVERSION/TERMINATION REQUEST FORM

## 汇丰大湾区理财通南向通服务变更/终止申请表

**Note 注意：**

- Please tick (√) where applicable and complete this form in BLOCK LETTERS. 请在适当的方格内划上钩号 (√)，并用正楷填写。
- Please cross out those not applicable. 请划去所有不适用的部分。
- Please submit your completed form to your nearest GBA HSBC Bank (China) Company Limited branch in Mainland China. Your request will normally be processed within **5 working days** of Bank’s receipt of your form. 请将已填写的表格就近交回大湾区汇丰银行（中国）有限公司的分行。银行将在5个工作日内处理您的申请。
- Unless otherwise defined in this form, capitalised terms used in this form have the same meaning as in the Terms and Conditions for HSBC GBA Southbound Wealth Management Connect Service. 除本表格另有定义外，本表格中所使用的术语与《汇丰大湾区理财通南向通服务条款及细则》中的含义相同。

### I. Customer Information 客户资料

Customer Name 客户姓名

Identification Document Details 身份证明文件资料  
 Type 种类：  
 2nd Generation Chinese Resident ID Card Number 第二代中国居民身份证号码  
 Others 其他

Identification Document Number 身份证明文件号码

### II. Important Note and Customer Confirmation 重要信息及客户确认

To effect the conversion or termination of HSBC GBA Southbound Wealth Management Connect Service (“WMC Service”) following conditions shall be fulfilled: 变更或终止汇丰大湾区理财通南向通服务（“理财通服务”）须满足以下条件：

- all the eligible investment products held in my Wealth Management Connect Investment Account (“WMC Investment Account”) under the WMC Service have been redeemed;  
 理财通服务项下本人理财通投资账户持有的所有合格的投资产品均已赎回；
- all the funds in my WMC Investment Account have been remitted to my Wealth Management Connect Remittance Account (“WMC Remittance Account”); and  
 理财通投资账户内的全部资金已悉数汇回本人的理财通汇款账户；及
- the pairing relationship between my WMC Remittance Account and my WMC Investment Account has been dismissed from the cooperating bank in Hong Kong S.A.R.  
 香港合作银行已解除本人理财通汇款账户与理财通投资账户的配对关系。

- I confirm all above conditions have been fulfilled.  
 本人确认以上条件均已满足。
- I confirm all above conditions will be fulfilled within 30 days from the date of this application and then the Bank may proceed to converse/terminate the WMC Service, or this conversion/termination request will be automatically cancelled at the expiration of the aforesaid period.  
 本人确认将在提交本申请之日起的30天内达成以上条件，随后银行将执行本人的变更/终止指令，否则本次提交的变更/终止申请将在上述期限届满后被自动撤销。

### III. Application 客户申请

**Conversion 变更：** I agree with the statements above and would like to designate the account below as my new WMC Investment Account 本人确认以上信息并指定以下账户为本人新的理财通投资账户：  
 Account number 账号:  Account opening bank 开户行:

**Termination 终止：** I agree with the statements above and would like to terminate the WMC Service under my WMC Remittance Account 本人确认以上信息并申请终止本人理财通汇款账户的理财通服务。

Choose reasons for WMC Service Termination 请选择终止理财通服务原因:

Other (please specify) 其他（请详述）

#### IV. Customer Declaration 客户声明

1. I confirm that the information given above is correct and complete, and authorize the Bank to confirm this form any legal sources you may choose. 本人证实上述信息正确及完整，并授权银行可向任何合法途径查证。
2. I understand that after the confirmation of WMC Service termination, any funds remitted from my WMC Remittance Account to my WMC Investment Account will be rejected.  
本人理解，理财通服务确认终止后，任何从本人的理财通汇款账户支付至本人的理财通投资账户的资金将会被拒绝入账。
3. (For WMC Service conversion) I confirm the declarations, undertakings and representations provided in the Terms and Conditions for HSBC GBA Southbound Wealth Management Connect Service, HSBC China GBA Southbound Wealth Management Connect Service Application Form and other terms and conditions that apply to my account held with the Bank remain true, correct and valid.  
(适用于理财通服务变更) 本人确认《汇丰大湾区理财通南向通服务条款及细则》、《汇丰中国大湾区理财通南向通服务申请表》以及其他适用于本人在银行维持账户的条款及条件项下所作出的声明、承诺和陈述仍然真实、准确、有效。
4. (For WMC Service termination) My individual investor quota will remain as the quota available to me at the time of WMC Service termination, instead of new quota while re-apply for the WMC Service.  
(适用于理财通服务终止) 若重新申请理财通服务，本人的单个投资者额度将保持理财通服务终止时本人的可使用额度，而非产生新的额度。
5. I hereby undertake to the Bank that I have the full and legal right to give the above termination instruction for the WMC Service. Once the Bank has acted on the above instruction, I declare to have no claim of whatever kind against the Bank with regard to the WMC Service and release the Bank of any and all responsibilities and liabilities. I hereby undertake and agree to save the Bank harmless from and jointly and severally indemnify the Bank at all times against all actions, claims, proceedings, losses, damages, costs and/or expenses which maybe brought against the Bank or suffered or incurred by the Bank arising out of or in connection with the Bank's acting and/or relying on my instruction, declaration, undertaking and acknowledgment contained herein, unless such actions, claims, proceedings, losses, damages, costs and/or expenses are caused by the Bank's willful misconduct or gross negligence.  
本人特此向银行承诺本人有充分及合法的权利作出上述理财通服务终止指令。一旦银行根据以上指令行事，本人就理财通服务即不再对银行提出任何主张，并且本人解除银行的任何和所有责任。本人特此承诺和同意连带地赔偿银行，以使银行免受由于银行依赖本人的指令、声明、承诺和确认和/或根据本人的指令、声明、承诺和确认行事而遭受或发生的任何法律行动、索赔、法律程序、损失、损害、成本和/或费用，除非该等法律行动、索赔、法律程序、损失、损害、成本和/或费用是由于银行的故意不当行为或重大过失造成。
6. The Bank may keep my information for such period of time as necessary to comply with any laws and regulatory requirements issued by any authority, whether in or outside Mainland China, with which must be complied from time to time for the WMC Service ("Applicable Requirements"). The Bank may share my information (including the profile, the type and value of the transactions I undertake, and other information in connection with the WMC Service conversion or termination) to the cooperating bank in Hong Kong S.A.R that opened my WMC Investment Account for the purpose of WMC Service conversion or termination. I understand this clause is supplemental and does not limit the Bank's rights to use, process and share my information for other purposes under the General Terms and Conditions (for Personal Sole Account, Joint Account and Business Account Holders) and the Personal Information and Privacy Protection Policy.  
银行可以在必要的时间内保留本人的信息以遵守就理财通服务须遵守的内地或境外任何机关颁布的任何法律及监管要求（“适用规定”），并可以为变更或终止理财通服务之目的向开立本人理财通投资账户的香港合作银行分享本人的信息（包括个人资料、本人所进行交易的类型及金额，以及本人就理财通服务变更或终止的其他信息）。本人理解本条款并不限制银行根据《一般章程条款（个人账户、联名账户及单位账户适用）》及《个人信息及隐私保护政策》为其他目的使用、处理、分享本人信息的权利。
7. After the conversion or termination of the WMC Service, I acknowledge and agree that the Bank will report or change the "cross-boundary wealth management connect filing information" for me in accordance with the Applicable Requirements.  
本人确认并同意，理财通服务变更或终止后，银行将按照适用规定为本人变更或录入“跨境理财通业务备案信息”。

Signature 签署

S.V.

#### For Bank Use Only 银行专用

|   | Task  | Initial                                    |
|---|---|--|
| <input type="checkbox"/> HSBC China GBA Southbound Wealth Management Connect Service status confirmed | Maintenance Input   | <input type="checkbox"/>                   |
| <input type="checkbox"/> ID Checked   | Remittances Input   | <input type="checkbox"/>                   |
| Checked by <input style="width: 150px;" type="text"/>   | Account Opening Bank (CNY Settlement Account Type I)<br>开户银行名称（人民币I类结算账户） | <input style="width: 150px;" type="text"/> |
| Staff ID <input style="width: 100px;" type="text"/>   | Bank Code (CNY Settlement Account Type I)<br>开户银行代码（人民币I类结算账户）            | <input style="width: 150px;" type="text"/> |